

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Attorney Docket No. 99CV02

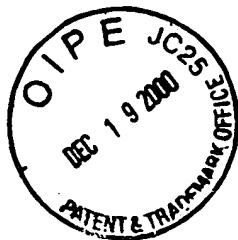
Applicant:  
Crescenti, et al.§ Group Art Unit: 2900  
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Serial No. 09/610,738

Filed: July 6, 2000

For: Modular Backup and Retrieval  
System Used in Conjunction  
With a Storage Area Network

Examiner: Unassigned

**CERTIFICATE OF MAILING**

Pursuant to 37 C.F.R. Sec. 1.8, I hereby certify that this paper is being deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Application Processing Division, Customer Correction Branch, U.S. Department of Commerce, Patents and Trademark Office, Washington, D.C. 20231, on November 2, 2000.

  
 Person Mailing
**TRANSMITTAL LETTER**

Application Processing Division  
Customer Correction Branch  
United States Department of Commerce  
Patent & Trademark Office  
Washington, D.C. 20231

Dear Sir:

In regard to the above-identified application, we are transmitting herewith the following:

- a. Request for Corrected Official Filing Receipt (1 page);
- b. A photocopy of the original filing receipt on which the errors have been noted in red (2 pp.);
- c. Check in the amount of \$25.00; and
- d. Return postcard.

No other fees are believed to be due. However, if any fees are deemed necessary, the Commissioner is authorized to charge Akin, Gump, Strauss, Hauer & Feld, L.L.P., Deposit Account No. 01-0660.

Respectfully submitted,

Date: November 2, 2000

  
 Russell C. Scott  
 Reg. No. 43,103

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Attorney Docket No. 99CV02**

**PATENT**

Applicant:  
Crescenti, et al.

§ Group Art Unit: 2900  
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*[Signature]*  
Person Mailing

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Application Processing Division  
Customer Correction Branch  
United States Department of Commerce  
Patent & Trademark Office  
Washington, D.C. 20231

Dear Sir:

A corrected filing receipt is hereby requested in view of the errors which appear in the original filing receipt. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original filing receipt on which the errors have been noted in red. Applicant respectfully requests that these changes be made and that a corrected Filing Receipt be reissued for the above-identified application.

Since the above corrections are due to Applicant's error, a fee under 37 C.F.R. 1.19(h) of \$25.00 is enclosed. If any additional charges are deemed necessary, the Commissioner is authorized to charge Akin, Gump, Strauss, Hauer & Feld, L.L.P. Deposit Account No. 01-0660.

Respectfully submitted,

Date: November 2, 2000

*[Signature]*  
Russell C. Scott  
Reg No. 43,103



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/610,738	07/06/2000	2900	0	99CV02	3	20	3

James D Bennett  
Akin Gump Strauss Hauer & Feld L L P  
816 Congress Avenue  
1900 Frost Bank Building  
Austin, TX 78701

## FILING RECEIPT



\*OC000000005384872\*

Date Mailed: 09/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John Crescenti, Freehold, NJ ;  
Srinivas Kavuri, Highland Park, NJ ;  
David Alan Oshinsky, East Brunswick, NJ ;  
Anand Prahlad, Ocean, NJ ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/143,743 07/14/1999

## Foreign Applications

→ [ AND CLAIMS BENEFIT OF 60/143,744, 07/14/99  
AND CLAIMS BENEFIT OF 60/179,345, 01/31/00 ]

If Required, Foreign Filing License Granted 09/08/2000

## Title

Modular backup and retrieval system used in conjunction with a storage area network

## Preliminary Class

D01

Data entry by : MARTIN, DIANE

Team : OIPE

Date: 09/08/2000

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

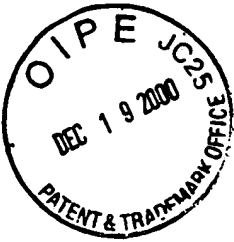
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

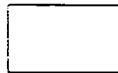
- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.  
ATTN: IP DOCKETING  
816 CONGRESS AVENUE, SUITE 1900  
AUSTIN, TEXAS 78701



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APPLICATION PROCESSING DIVISION

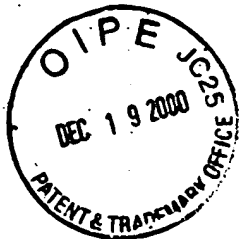
Please place the receipt stamp of the Patent Office hereon and place in outgoing mail to acknowledge receipt of the below-identified paper(s):

Serial No.: 09/610,738  
Filed: July 6, 2000  
Applicant: Crescenti, et al.  
Title: MODULAR BACKUP AND RETRIEVAL SYSTEM USED IN  
CONJUNCTION WITH A STORAGE AREA NETWORK  
Client: Comm Vault Systems, Inc.  
AGSHF ID: 044463.0024  
Atty Dkt No.: 99CV02  
Attorney: RCS/tan

Inclusions:

Transmittal Letter with Certificate of Mailing under (☒ 37 C.F.R. 1.8(a) )  
Request for Corrected Official Filing Receipt  
A photocopy of the original filing receipt on which the errors have been noted in red (2 pp.)  
Check in the amount of \$25.00  
Return Postcard

Date Mailed: November 2, 2000  
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BOX NON-FEE AMENDMENT

The stamp of the Patent Office, placed hereon, acknowledges receipt of:

Serial No.: 09/610,738  
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Client: Comm Vault Systems, Inc.  
AGSHF ID: 044463.0024  
Atty Dkt No.: 99CV02  
Attorney: RCS/tan

Enclosed:

- ☒ Transmittal Letter with Certificate of Mailing under (☒ 37 C.F.R. 1.8(a). )  
☒ Preliminary Amendment (3 pp.)  
☒ Return Postcard

Date Mailed: November 2, 2000  
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